

## Transcriptome Mining:

Tackling core issues related to gene regulation
\& also analyzing the "data exhaust" associated with this activity

Mark Gerstein, Yale

Slides freely downloadable from Lectures.GersteinLab.org \& "tweetable" (via @markgerstein). See last slide for more info.

## TranScriptome = Gene Activity of All Genes in the Genome,

 usually quantified by RNA-seq

Expression of genes is quantified by transcription: RNA-Seq measures mRNA transcript amounts

## RNA-Seq Overview



Overlap profile


Reads => Signal

ATACAAGCAAGTATAAGTTCGTATGCCGTCTT GGAGGCTGGAGTTGGGGACGTATGCGGCATAG TACCGATCGAGTCGACTGTAAACGTAGGCATA ATTCTGACTGGTGTCATGCTGATGTACTTAAA


## Activity Patterns

- RNA Seq. gives rise to activity patterns of genes \& regions in the genome


## Some Core Science Qs Addressed by RNA-seq

- Gene activity as a function of:
- Developmental stage: basic patterns of co-active genes across development
- Cell-type \& Tissue: relationship to specialized functions
- Evolutionary relationships: behavior preserved across a wide range of organisms; patterns in model organisms in relation to those in humans
- Disease phenotypes: disruption of patterns in disease
- Our overarching Qs: Are there core, ancient patterns of gene expression? Are they associated with development? Are they disrupted by disease?

Studying large-scale transcriptome data also produces

## Data Exhaust



- Data Exhaust = Exploitable byproducts of big data collection and analysis
- Creative use of Data is key to Data Science!
- [Core-1] Expression Clustering, Cross-species
- Comparative ENCODE - Lots of worm-fly-human matched data \& developmental timecourses
- Optimization gives 16 conserved coexpression modules, $12 \mathrm{w} /$ hourglass
- [Core-2] State Space Models of Gene Expression
- Using dimensionality reduction to help determine internal \& external drivers; Decoupling expression changes into those from conserved vs speciesspecific genes
- Conserved genes have similar canonical patterns (iPDPs) in contrast to species specific ones (Ex of ribosomal v signaling genes)
- [Core-3] Logic Gates Modeling
- Preponderance of OR gates in cancer v. cell-cycle (esp. for MYC)
- [Exhaust-1] Genomic Privacy \& RNA-seq
- The dilemma: The genome as fundamental, inherited info that's very private v need for large-scale mining for med. research
- 2-sided nature of RNA-seq presents a particularly tricky privacy issue
- Using file formats to remove obvious variants
- Quantifying \& removing further variant info from expression levels + eQTLs using ICI \& predictability
- Instantiating a practical linking attack using extreme expression levels
- [Exhaust-2] Publication Patterns from data producing consortia
- Co-authorship network statistics relate to publication rollouts \& show gradual adoption by a diverse community
- Key role of brokers in data dissemination

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## ENCODE Time-course gene expression data of worm \& fly development + human conditions



Comparative ENCODE Functional Genomics Resource
(EncodeProject.org/comparative)

| Organism | Major developmental stages |
| :---: | :---: |
| worm | 33 stages: $0,0.5,1, \ldots, 12$ hours, L1, L2, L3, |
| $($ C. elegans $)$ | L4,., Young Adults, Adults |
| fly | 30 stages: $0,2,4,6,8, \ldots, 20,22$ hours, L1- |
| $(D$. mel. $)$ | L4, Pupaes, Adults |

L4, Pupaes, Adults


- Broad sampling of conditions across transcriptomes for human, worm \& fly
- embryo \& ES cells
- developmental time course (worm-fly)
- In total: ~3000 datasets (~130B reads)


## Expression clustering: revisiting an ancient problem



Species A


## Expression clustering: revisiting an ancient problem



## Network modularity



Dolphin social network


## Network modularity


$Q \approx 0$


## Network modularity

Optimization problem for sim. annealing


## A toy example [orthoclust]

Species A
Species B

__ co-expressed

reward an orthologous pair
with the
same value
 $+K \sum_{\left(i, j^{\prime}\right) \in O r t h o} \delta_{\sigma_{i} \sigma_{j}}$ $H=Q\left(\right.$ for all $\sigma_{\mathrm{i}}$ in A$)+\mathrm{Q}\left(\right.$ for all $\sigma_{\mathrm{i}}$ in B$)$

Favorableness = "Modularity" in species A + "Modularity" in species B + consistency betw. A \& B

## A toy example [orthoclust]

## Species B

species A specific
conserved modules
species B specific
Use Potts model (generalized Ising model) to simultaneously cluster co-expressed genes within an organism as well as orthologs shared between organisms. Here, the ground state configuration correspond to three modules: 1, 2, 4.

## Application for more than 2



## Conserved modules exhibit canonical hourglass behavior



Illustrations courtesy Naoki Irie

## Canonical Inter-organism Behavior

- "Hourglass hypothesis": all organisms go through a particular stage in embryonic development ("phylotypic" stage) where inter-organism expression differences of orthologous genes are smallest.
- 12 out of our 16 modules have this behavior

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## Is gene regulation among orthologs conserved?



## State-space model for internal and external gene regulatory networks

- State $X_{t}$ : Gene expression vector of internal group at time $t$
- $A_{i j}$ captures temporal casual influence from Gene $i$ to Gene $j$ in internal group
- $\boldsymbol{B}_{k l}$ captures temporal casual influence from external factor $k$ to Gene $l$ in internal group
- Control $\boldsymbol{U}_{\boldsymbol{t}}$ : Gene expression vector of external factors at time $t$



## State-space model for internal and external gene regulatory networks

Not enough data to estimate state space model for genes
(e.g., 25 time points per gene to estimate 4 million elements of $A$ or $B$ for 2000 genes)


Dimensionality reduction from genes to meta-genes (e.g., SVD)


Effective state space model for meta-genes (e.g., 250 time points to estimate 50 matrix elements if 5 meta-genes)


## Canonical temporal expression trajectories from effective state space model

Is a std. ${ }^{\text {st }}$ order homogeneous matrix difference equation. It can solved by diagonalizing A giving.

...


$\tilde{B} \quad \tilde{U}_{t}$
$p^{\text {th }}$ internal principal dynamic pattern (iPDP): $\left[\lambda_{p}{ }^{1}, \lambda_{p}{ }^{2}, \ldots, \lambda_{p}{ }^{T}\right]$, where $\lambda_{p}$ is $p^{\text {th }}$ eigenvalue of $\tilde{A}$.

Canonical temporal expression trajectories (e.g., degradation, growth, damped oscillation, etc.)


## Flowchart

## A. Gene state-space model


B. Dimensionality Reduction

D. Internal/External Principal Dynamic Patterns (PDPs)

$\longleftarrow \longleftarrow$ Internal regulation among internal genes/meta-genes by $A / \tilde{A}$
$\longleftarrow \longleftarrow$ External regulation from external genes/meta-genes to internal genes/meta-genes in Group $X$ by $B / \tilde{B}$

External genes/meta-genes

Orthologs have similar internal but different external dynamic patterns during embryonic development


Fly's
effective state space model

## Meta-genes

$$
\tilde{X}_{t+1}=\tilde{A} \tilde{X}_{t}+\tilde{B} \tilde{U}_{t}
$$

## Orthologs have correlated iPDP coefficients



Coefficients of orthologs on WOrm

## Evolutionarily conserved \& younger genes exhibit the opposite internal \& external PDP coefficients



Ribosomal genes have significantly larger coefficients for the internal than external PDPs, but signaling genes exhibit the opposite trend

Human-specific
TFs respond more strongly to hormonal stimulation during cellcycle than conserved genes in breast cancer cell

## iPDPs

ePDPs




- EXT = human spec TFs
- diff from above
- perhaps responding to stimulation



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## Modeling cooperativity between TFs to target gene using logic gates



## An example: selection of the best-matched logic gate



Wang, et al., PLoS Computational Biology, 2015

## App. 1 - TF cooperativity in the cell cycle



Wang, et al., PLoS Computational Biology, 2015

## Acute Myeloid Leukemia (AML)

| Target gene | 1824 | ENCODE Data (K562, ChIP-seq) |
| :--- | :--- | :--- |
| TF | 70 | TCGA Data (AML, level 3, RNA-seq) <br> Regulatory <br> triplet |
| https://tcga- |  |  |
| Patient <br> sample | 50,865 | data.nci.nih.gov/tcga/tcgaDownload.jsp |

Wang, et al., PLoS Computational Biology, 2015

## App. 2 - TF cooperativity in AML



## Regulatory triplet $\quad 50,865$ from ENCODE

Patient sample 197 for TCGA AML expression data

Human TF-TF-target

| RF1 | RF2 | Common <br> Target <br> Gene (T) | Matched <br> logic gate |
| :--- | :--- | :--- | :--- |
| ATF3 | BDP1 | YPEL1 | AND |
| MYC | BCL3 | BCR | T=RF1 |
| ATF3 | BRF2 | AIF1L | AND |
| $\ldots$ | $\ldots$ | $\ldots$ | $\ldots$ |



All common gene targets

# Cancer-related TF, MYC, universally amplifies target expression 



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## 2-sided nature of functional genomics data: Analysis can be very General/Public or Individual/Private

- General quantifications related to overall aspects of a condition - ie gene activity as a function of:
- Developmental stage, Evolutionary relationships, Cell-type, Disease
- Above are not tied to an individual's genotype. However, data is derived from individuals \& tagged with their genotypes
- (Note, a few calculations aim to use explicitly genotype to derive general relations related to sequence variation \& gene expression - eg allelic activity)


## Genomics has similar "Big Data" Dilemma in the Rest of Society

- Sharing \& "peerproduction" is central to success of many new ventures, with the same risks as in genomics
- EG web search: Largescale mining essential

- We confront privacy risks every day we access the internet


## Tricky Privacy Considerations in Personal Genomics

- Genetic Exceptionalism : The Genome is very fundamental data, potentially very revealing about one's identity \& characteristics
- Personal Genomic info. essentially meaningless currently but will it be in 20 yrs? 50 yrs?
- Genomic sequence very revealing about one's children. Is true consent possible?
- Once put on the web it can't be taken back
- Culture Clash:

Genomics historically has been a proponent of "open data" but not clear personal genomics fits this.

- Clinical Medline has a very different culture.
- Ethically challenged history of genetics
- Ownership of the data \& what consent means (Hela)
- Could your genetic data give rise to a product line?



## The Other Side of the Coin: Why we should share

- Sharing helps speed research
- Large-scale mining of this information is important for medical research
- Privacy is cumbersome, particularly for big data
- Sharing is important for reproducible research
- Sharing is useful for education
- More fun to study a known person's genome
- Eg Zimmer's Game of Genomes in STAT

[Yale Law Roundtable ('10). Comp. in Sci. \& Eng. 12:8; D Greenbaum \& M Gerstein ('09). Am. J. Bioethics; D Greenbaum \& M Gerstein ('10). SF Chronicle, May 2, Page E-4; Greenbaum et al. PLOS CB ('11)]



## The Dilemma

## [Economist, 15 Aug '15]

- The individual (harmed?) v the collective (benefits)
- But do sick patients care about their privacy?
- How to balance risks v rewards - Quantification
- What is acceptable risk?

Can we quantify leakage?

- Ex: photos of eye color
- Cost Benefit Analysis


## Current Social \& Technical Solutions

- Closed Data Approach
- Consents
- "Protected" distribution via dbGAP
- Local computes on secure computer
- Issues with Closed Data
- Non-uniformity of consents \& paperwork
- Different international norms, leading to confusion
- Encryption \& computer security creates burdensome requirements on data sharing \& large scale analysis
- Many schemes get "hacked"
- Open Data
- Genomic "test pilots" (ala PGP)?
- Sports stars \& celebrities?
- Some public data \& data donation is helpful but is this a realistic solution for an unbiased sample of $\sim 1 \mathrm{M}$


## Strawman Hybrid Social \& Tech Proposed Solution?

- Fundamentally, researchers have to keep genetic secrets.
- Need for an (international) legal framework
- Genetic Licensure \& training for individuals (similar to medical license, drivers license)
- Technology to make things easier
- Cloud computing \& enclaves (eg solution of Genomics England)
- Technological barriers shouldn't create a social incentive for "hacking"
- Quantifying Leakage \& allowing a small amounts of it
- Careful separation \& coupling of private \& public data
- Lightweight, freely accessible secondary datasets coupled to underlying variants
- Selection of stub \& "test pilot" datasets for benchmarking
- Develop programs on public stubs on your laptop, then move the program to the cloud for private production run


## Representative Functional Genomics, Genotype, eQTL Datasets

- Genotypes are available from the 1000 Genomes Project
- mRNA sequencing for 462 individuals from gEUVADIS and ENCODE
- Publicly available quantification for protein coding genes
- Functional genomics data (ChIP-Seq, RNA-Seq, Hi-C) available from ENCODE
- Approximately 3,000 cis-eQTL (FDR<0.05)

- Functional genomics data comes with a great deal of sequencing
- NA12878 as case study - 1000 genomes variants are used as gold standard
- We can quantify amount of leakage at every step of the data summarization process.

samples
[Gursoy et al, Bioarvix]

- How much information, for example, do RNA-

Seq reads (or ChIP-Seq) reads contain? Does that information enough to identify individuals?


- It might seem like we don't infer much information from single ChIP-Seq and RNASeq experiments compared to WGS
- However putting 10 different ChIP-Seq experiments and RNA-Seq together with imputation provides a great deal of information about the individual



## Privacy-aware file formats that hide the variants but recover signal

- Some lightweight format clearly separate public \& private info., aiding exchange
- Files become smaller
- Distinction between formats to compute on and those to archive with - become sharper with big data




## Information Content and Predictability

$$
|C|\left(\begin{array}{c}
\left.\begin{array}{c}
\text { Indididual has vaiant } \\
\text { genotypes } \\
\text { for vaniants } V_{1}, V_{2}, \ldots, g_{1}, \ldots, V_{n}
\end{array}\right)
\end{array}\right)=\log \left(\begin{array}{c}
\frac{1}{\text { Frequency of }} \\
V_{1} \text { genotype } \\
g_{1}=2
\end{array}\right)+\log \left(\begin{array}{c}
\frac{1}{\text { Frequency of }} \\
V_{2} \text { genotype } \\
g_{2}=1
\end{array}\right)+\ldots+\log \left(\begin{array}{c}
\frac{1}{\text { Frequency of }} \\
V_{n} \text { genotype } \\
g_{n}=2
\end{array}\right)
$$

- Naive measure of information (no LD, distant correlations, pop. struc., \&c)
- Higher frequency: Lower ICI
- Additive for multiple variants

- Condition specific entropy
- Higher cond. entropy: Lower predictability
- Additive for multiple eQTLs



## ICI Leakage versus Genotype Predictability <br> 

Average ICI Leakage


- Real
- Shuffled

| 0.0 | 0.5 | 1.0 | 1.5 |
| :--- | :--- | :---: | :---: |
|  | Average ICI Leakage |  | 2.0 |
|  |  |  |  |

[Harmanciet al. Nat. Meth. ('16]
 $\begin{array}{ccccc}0.05 & 0.20 & 0.40 & 0.60 & 0.80 \\ & \text { Joint Average per Individual } & \text { Predictability }\end{array}$





## Linking Attack Scenario



## Linking Attacks: Case of Netflix Prize

|  |  |  |  |
| :---: | :---: | :---: | :---: |
| User (ID) | Movie (ID) | Date of Grade | Grade [1,2,3,4,5] |
| NTFLX-0 | NTFLX-19 | $10 / 12 / 2008$ |  |
| NTFLX-1 | NTFLX-116 | $4 / 23 / 2009$ |  |
| NTFLX-2 | NTFLX-92 | $5 / 27 / 2010$ | 3 |
| NTFLX-1 | NTFLX-666 | $6 / 6 / 2016$ | 2 |
| $\ldots$ | $\ldots$ | $\ldots$ | 5 |
| $\ldots$ | $\ldots$ | $\ldots$ | $\ldots$ |

IMDh
Names available for many users!

| User (ID) | Movie (ID) | Date of Grade | Grade [0-10] |
| :---: | :---: | :---: | :---: |
| IMDB-0 | IMDB-173 | $4 / 20 / 2009$ | 5 |
| IMDB-1 | IMDB-18 | $10 / 18 / 2008$ | 0 |
| IMDB-2 | IMDB-341 | $5 / 27 / 2010$ | - |



- The grades of same users are correlated
- A user grades one movie around the same date in two databases

Anonymized Netflix Prize Training Dataset made available to contestants

## Linking Attacks: Case of Netflix Prize



## Linking Attacks: Case of Netflix Prize



## Linking Attack Scenario



## Levels of Expression-Genotype Model Simplifications for Genotype Prediction



## Success in Linking Attack with Extremity based Genotype Prediction



## Success in Linking Attack with Extremity based Genotype Prediction

200 individuals eQTL Discovery 200 individuals in Linking Attack



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The Human Genome Project


Worm Genome

The Human Genome Project

## Science



ENCODE Pilot nature


DECODING
THEBLUEPRINT The ENCODE pilot maps
human genome function

## ENCODE

 Production

Worm
modENCODE

The Human Genome Project


ENCODE
Pilot

## nature

## 00




## zhe

ENCODE

modENCODE

The Human Genome Project

## Science



The Human Genome Project

## Science



With help of M Pazin at NHGRI, identified: 702 community papers that used ENCODE data but were not supported by ENCODE funding \& 558 consortium papers supported by ENCODE funding (https://www.encodeproject.org/search/?type=Publication for up-to-date query) Then identified 1,786 ENCODE members \& 8,263 non-members .
$\square$ non-ENCODE (papers used ENCODE data) ■ ENCODE


# Co-authorship Network of ENCODE members \& Data Users 

- ENCODE member
- non-member
- ENCODE member broker
- non-member broker co-authorship


ENCODE member non-member

- ENCODE member broker
- non-member broker co-authorship



## Co-authorship Network of ENCODE members \& Data Users

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\# neighbors: non-ENCODE ==>

## Co-authorship Network of ENCODE members \& Data Users

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- ENCODE member broker
- non-member broker co-authorship



# Dynamics of coauthorship network 



Dynamics of co-
authorship network
2009


Dynamics of co-
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2008



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